Oxidation Behavior of Fe- and Ni-base Alloys in Supercritical CO₂ and Related Environments

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ABSTRACT

Materials degradation issues are a concern for implementation of indirect- and direct-fired supercritical CO₂ (sCO₂) power cycles. The oxidation response of Ni-xCr model alloys and ferritic steel Grade 91 were compared in several different high temperature environments. The environments were pure CO₂ (200 bar and ambient pressure), CO₂ with H₂O, O₂, and SO₂ impurities (ambient pressure), pure H₂O at 200 bar, and ambient pressure laboratory air. The oxidation response for many of the alloys were somewhat similar in the different environments, but exceptions were found. For example, at 700-750°C the critical Cr content to maintain a protective chromia scale in model Ni-xCr alloys (where x varied from 5 to 24 wt%) was much lower in pure CO₂ than in water containing environments or in air (<5% Cr compared to 12-14% Cr). This indicated that Ni-base superalloys may be robust in pure CO₂ environments with respect to scale spallation events or foreign object damage. Another difference was observed with respect to surface finish for Grade 91 at 550°C in CO₂ (200 bar and ambient pressure) and in laboratory air. Machined surfaces, with more cold work, resulted in the lowest oxidation rates in each environment. This indicated that surface enhancements to induce more residual stress, such as shot peening, may be of benefit for 9-12Cr ferritic-martensitic steels in sCO₂.

INTRODUCTION

Heat engine power cycles, using a working fluid of supercritical carbon dioxide (sCO₂), have the potential for high thermodynamic efficiencies when configured as a (indirect) recompression Brayton cycle [1]. Direct cycles, where pressurized oxy-combustion flue gas is utilized as the working fluid in an open loop, are also in development [2]. These sCO₂ cycles are projected to have higher efficiencies compared to steam cycles due to lack of phase change in the working fluid within the working envelope, recompression of sCO₂ near liquid densities, and high heat recuperation. In addition to lowering the environmental impact due to the higher efficiencies, dry or reduced water cooling in direct and indirect cycles, and production of storage ready CO₂ in direct cycles, will also lower the environmental impact. Furthermore, compact turbo machinery and simple configurations of the sCO₂ cycles could result in lower capital cost.

In this communication, two aspects of the oxidation behavior of alloys were compared between several indirect- and direct-cycle related environments. The first was to examine the critical Cr content needed in Ni alloys to achieve a compact and protective chromia scale. A series of Ni-xCr model alloys were made and used for these tests. The second was to examine the effect of surface finish on the oxidation behavior of Grade 91 ferritic-martensitic steel.

EXPERIMENTAL PROCEDURES

A series of model alloys were produced using commercial-type techniques to obtain Ni-xCr alloys where the microstructures and impurity levels were similar to commercial alloys. First, a binary master alloy of NiCr was made from commercial purity feed stocks using vacuum induction melting (VIM) followed by electro-slag reduction (ESR) to reduce O and S levels [3,4]. The ESR slag was 40% CaF₂ -30% CaO - 30% Al₂O₃ (wt%), and the master alloy ingot was approximately 80 kg. Final alloys were made with VIM combining the master alloys with Ni (or sometimes hot top material from a previous melt). Computationally optimized homogenization heat treatments [5] were done, then the ingots were machined to remove surface defects. Wrought processing involved wrapping the ingots in stainless steel foil and preheating for 3 h prior to upset forging and forged flattening. Each ingot was step forged and squared in multiple operations to 3.2 cm, then hot rolled to approximately 0.25 cm.

The Grade 91 alloy was machined from seamless tubing (5.7 cm outside diameter), manufactured by Vallourec & Mannesmann Tubes, which had undergone normalizing at 1060 °C for 20 min and tempered at 780 °C for 60 min.

Compositions of each alloy are given in Table 1.
Table 1. Alloy compositions. Grade 91 values are from the manufacturer. Model alloy values are from wavelength dispersive x-ray fluorescence spectroscopy using a Rigaku ZSX Primus II, except for the interstitial elements that are from combustion (C and S using a Leco CS744) and inert gas fusion (O and N using a Leco ON736) methods.

<table>
<thead>
<tr>
<th>Alloy</th>
<th>Ni wt%</th>
<th>Cr wt%</th>
<th>Al wt%</th>
<th>Si wt%</th>
<th>Mn wt%</th>
<th>Co wt%</th>
<th>Ti wt%</th>
<th>Fe wt%</th>
<th>Cu wt%</th>
<th>C ppm</th>
<th>N ppm</th>
<th>O ppm</th>
<th>S ppm</th>
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<tr>
<td>91</td>
<td>0.14</td>
<td>8.46</td>
<td>0.01</td>
<td>0.36</td>
<td>0.44</td>
<td>Bal</td>
<td>0.16</td>
<td>1000</td>
<td>545</td>
<td>20</td>
<td></td>
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<tr>
<td>Ni5Cr</td>
<td>Bal</td>
<td>5.00</td>
<td>0.02</td>
<td>&lt;0.01</td>
<td>0.02</td>
<td>0.03</td>
<td>&lt;0.01</td>
<td>0.01</td>
<td>38</td>
<td>8</td>
<td>6</td>
<td>3</td>
<td></td>
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<tr>
<td>Ni12Cr</td>
<td>Bal</td>
<td>12.08</td>
<td>0.01</td>
<td>&lt;0.01</td>
<td>0.01</td>
<td>0.12</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>233</td>
<td>36</td>
<td>62</td>
<td>13</td>
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<td>Ni14Cr</td>
<td>Bal</td>
<td>14.09</td>
<td>0.02</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>0.14</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>311</td>
<td>29</td>
<td>15</td>
<td>7</td>
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<td>Ni16Cr</td>
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<td>16.04</td>
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<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>0.22</td>
<td>&lt;0.01</td>
<td>0.02</td>
<td>&lt;0.01</td>
<td>226</td>
<td>43</td>
<td>65</td>
<td>15</td>
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<td>Ni18Cr</td>
<td>Bal</td>
<td>18.13</td>
<td>0.04</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>0.25</td>
<td>&lt;0.01</td>
<td>0.02</td>
<td>0.01</td>
<td>270</td>
<td>50</td>
<td>17</td>
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<td>Ni20Cr</td>
<td>Bal</td>
<td>20.08</td>
<td>0.03</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>0.26</td>
<td>&lt;0.01</td>
<td>0.05</td>
<td>0.01</td>
<td>228</td>
<td>72</td>
<td>44</td>
<td>12</td>
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<td>Ni22Cr</td>
<td>Bal</td>
<td>22.10</td>
<td>0.05</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>0.39</td>
<td>&lt;0.01</td>
<td>0.16</td>
<td>&lt;0.01</td>
<td>149</td>
<td>88</td>
<td>27</td>
<td>12</td>
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<tr>
<td>Ni24Cr</td>
<td>Bal</td>
<td>24.06</td>
<td>0.03</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>0.31</td>
<td>&lt;0.01</td>
<td>0.12</td>
<td>0.01</td>
<td>119</td>
<td>96</td>
<td>38</td>
<td>16</td>
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</table>

Samples were machined from each alloy that were 19 × 13 × 2 mm in dimension, with a 5-mm hole near the top middle of the coupon for hanging the sample during exposure. The model nickel alloy coupons were surface finished first by lapping with 17.5 µm alumina, then with a final finish using 600 grit SiC paper. The coupons were dimensioned, ultrasonicated in isopropyl alcohol, and weighed.

The Grade 91 coupons were surface finished three ways. One was a “standard” (or 91S) preparation and was prepared as the model nickel alloys. Another was a preparation that retained more “cold work” (or 91CW). In these samples, no lapping or 600 grit paper steps were used—for as-machined surfaces. The last surface preparation retained the least cold work and was done by lapping followed by a single 2-hour polishing step using “colloidal silica” (or 91CS) until a mirror finish was achieved.

The alloys were exposed in six environments: sCO₂, atmospheric pressure CO₂ (aCO₂) [6], two direct-cycle type environments DF4 and DF4S, supercritical H₂O (sH₂O) [6,7], and laboratory air [8]. The sCO₂ exposures were in a flowing CO₂ autoclave constructed from Haynes 230. The autoclave was purged 10 times with Ar by cycles of 1 to 7 to 1 bar, and then heated to 700 °C. Research grade CO₂ was then introduced at ~10 g/min. Once the target pressure was reached, the CO₂ flow was reduced to 2 g/min. The DF4 and DF4S exposures were done in horizontal tube furnaces. Mass flow meters were used to introduce CO₂, O₂ and SO₂ (mixed prior to entry into the furnace); a syringe pump was used to drip water into a pan within the furnace to flash to steam. Test durations were nominally 500 h, after which the samples were weighed and put back into a subsequent test. Table 2 summarizes the test environments.
Table 2. Test types and environments.

<table>
<thead>
<tr>
<th>Test</th>
<th>Gas composition</th>
<th>Gas Notes</th>
<th>Alloys</th>
<th>T, °C</th>
<th>P, bar</th>
<th>Flow rate at T/P, cm/min</th>
</tr>
</thead>
<tbody>
<tr>
<td>sCO2</td>
<td>CO₂</td>
<td>99.999% CO₂</td>
<td>Ni-xCr</td>
<td>700</td>
<td>200</td>
<td>0.8</td>
</tr>
<tr>
<td>aCO₂</td>
<td>CO₂</td>
<td>99.999% CO₂</td>
<td>Ni-xCr</td>
<td>700</td>
<td>1</td>
<td>25</td>
</tr>
<tr>
<td>DF4</td>
<td>CO₂+4%H₂O+1%O₂</td>
<td>Deionized H₂O</td>
<td>Ni-xCr</td>
<td>750</td>
<td>1</td>
<td>25</td>
</tr>
<tr>
<td>DF4S</td>
<td>CO₂+4%H₂O+1%O₂+0.1%SO₂</td>
<td>Deionized H₂O</td>
<td>Ni-xCr</td>
<td>750</td>
<td>1</td>
<td>25</td>
</tr>
<tr>
<td>sH₂O</td>
<td>H₂O</td>
<td>Deaerated deionized H₂O</td>
<td>Ni-xCr</td>
<td>700</td>
<td>200</td>
<td>2</td>
</tr>
<tr>
<td>Air</td>
<td>Laboratory air</td>
<td>Some H₂O present from relative humidity</td>
<td>Ni-xCr</td>
<td>700</td>
<td>1</td>
<td>0</td>
</tr>
</tbody>
</table>

The exposed coupons were weighed using a balance capable of measuring 10-5 grams to determine the mass change. A scanning electron microscope (FEI Inspect) with energy dispersive spectroscopy (EDS) capabilities was used to obtain images and microchemical data on the exposed surfaces and cross-sections.

RESULTS AND DISCUSSION

Nickel-Chromium Model Alloys

Mass loss changes with time are shown in Fig. 1 for the Ni-xCr model alloys. In sCO₂, all of the mass changes were small, but those for Ni-5Cr were markedly higher than for the higher Cr alloys. In aCO₂, the mass changes were higher than in sCO₂, but still low. In contrast with sCO₂, Ni-5Cr had the smallest mass change in aCO₂. This was the only environment where this occurred. There was no pattern, with respect to Cr levels, in the mass change data for 12-24 Cr alloys in the pure CO₂ environments. In sH₂O there was a distinct trend with Cr, with mass change in 5Cr > 12Cr > 14Cr > 16-24Cr. The high mass gain for Ni-5Cr in sH₂O clearly indicates that a protective chromia layer was not established and maintained. The mass gains for the DF4 and DF4S environments were similar. In both cases Ni-5Cr had high mass gains and the remaining alloys had quite low mass gains. The mass gains in DF4S were somewhat higher than in DF4—showing an effect with 0.1% SO₂. The results in air (for significantly longer exposures than the other environments) also had quite high mass gains for Ni-5Cr. When Ni-5Cr is removed from the chart (lower right in Fig. 1), the results show low mass gains followed by mass losses. This could be a result of spalling or chromia evaporation. The laboratory air used had some water from the relative humidity in the room, which would favor reactive evaporation of chromia as CrO₂(OH)₂(g) [9].
Fig. 1. Mass change results as a function of time for Ni-xCr model alloys in six environments. The bottom row repeats the middle row, but without the heavily oxidized Ni-5Cr data.

Mass change data after 1500 h are shown in Fig. 2 as a function of Cr for the six environments. There are clear differences in the critical Cr level needed to form and maintain a protective chromia scale. The Ni-5Cr alloy in the pure CO₂ environments (sCO₂ and aCO₂) was protective, while it wasn’t in the other environments. When H₂O or O₂ was part of the gas phase, a transition to protective kinetics occurred somewhere between 5-12Cr in DF4, DF4S and air, and at 14Cr in sH₂O. The oxygen activity in sCO₂ and sH₂O are similar (1.8 × 10⁻⁶ and 2.2 × 10⁻⁶ atm, respectively at 700°C and 200 bar), so it is the H₂O itself that is more aggressive than CO₂ for the Ni-5Cr alloy.

Fig. 2. Mass change results after 1500 h for Ni-xCr model alloys in 6 environments.

Figure 3 shows cross section microstructures after 2000 h of exposure in aCO₂ at 700 °C. The oxide scale for Ni-5Cr shows a duplex oxide scale. Elemental analysis with EDS showed that the inner oxide scale
was Cr oxide (likely Cr₂O₃) with Ni also present, while the outer oxide scale was Ni oxide (presumably NiO). The microstructure for Ni-12Cr showed a sharp transition between an inner and outer oxides, but both were essentially pure Cr oxide (Cr₂O₃). The microstructures for Ni-14Cr and Ni-24Cr both showed contrast between inner and outer layers of Cr oxide (Cr₂O₃), but without the sharp transition seen with Ni-12Cr.

The oxide scale for Ni-5Cr was thinner than the other three compositions shown in Fig. 3, which corresponds to the results shown in Fig. 1 for aCO₂. It is likely that some amount of NiO spalled during heating, cooling, or post-test handling, which lowered the mass change curve in Fig. 1 to lower values than the other (higher Cr) alloys.

Figure 4 shows cross section microstructures after 2000 h of exposure in air at 700 °C. The oxide scale for Ni-5Cr is much thicker than the other compositions in Fig. 4. Elemental analysis with EDS showed a clear and sharp transition between an inner scale of Cr-Ni oxide (likely a spinel) and an outer scale of Ni oxide (NiO). The cross-sections of the other three compositions in Fig. 4 were much thinner and were primarily Cr oxide (Cr₂O₃). The cross-sections were after 2000 h, which was prior to the mass decreases seen in Fig. 1 in air for Cr compositions of 12 and higher.
Fig. 4. Ni-\textit{x}Cr alloys after 2000 h exposure in laboratory air at 700 °C. The images are backscattered SEM.

Figure 5 shows a cross-section and elemental maps of Ni-12Cr after 2000 h at 700°C for a portion of the cross-section with an unusual feature. The feature is a pit-shaped depression, filled with Cr-oxide (Cr$_2$O$_3$), underneath an outer oxide dome of Ni-oxide (NiO). One explanation for the structure is that a chromia scale did not initially form at that location. A less protective scale of NiO formed, which resulted in the pit. A chromia scale eventually did form at the metal-scale interface. As the chromia layer grew, it pushed out the NiO scale; it is the remnant of the initial NiO scale that is now seen at the outer oxide location.
Fig. 5. Ni-12Cr after 2000 h exposure in laboratory air at 700 °C showing backscattered SEM and EDS elemental maps.

Although it should be further verified, the results of exposures of Ni-xCr alloys in sCO₂ (indirect cycle) and DF4/DF4S (direct cycle) environments indicate that the high strength Ni-base superalloys (generally 19-25%Cr) may be quite resilient to recovery from foreign object damage. Under normal use, Cr is depleted under the protective chromia scale that forms. If the scale is breeched (such as from an impact), then the newly exposed alloy will have a lower Cr level than the original alloy. The ability to regenerate a new protective chromia scale down to 12%Cr in pure CO₂, DF4, and DF4S, and to provide some protection down to 5% Cr pure CO₂, should make these superalloys resilient. However, it remains to be seen if the other alloying elements in these superalloys interfere with this low Cr protectiveness.

Surface Finish Effects in Grade 91 steel

It is well-known that shot peening, and similar surface preparations, can greatly reduce scaling in ferritic steel tubing and piping in steam boilers. Shot peening adds cold work to the near surface of the alloy, which can increase the diffusion of Cr to the surface during oxidation, decreasing the rate of oxidation. In this study, cold work effects were examined for grade 91 steel exposed to aCO₂ and sCO₂. For comparison, the alloy was also exposed to air. In this study, machining added cold work to the surface. Figure 6a shows the machined surface. The 91CW samples have more near-surface cold work than the other 2 conditions. After machining, a lapping step was done (Fig. 6b). Then either 600 grit paper (91S, Fig. 6c) or a colloidal silica polish (91CS, Fig. 6d) was done. The colloidal silica polish was aimed at removing the lapped
damage, without adding cold work (which the 600 grit paper adds). The 91CS samples had the least cold work. Fig. 6d shows that despite the samples appearing highly reflective at this stage, not all of the lapping damage was removed by the colloidal silica process—some surface voids remain.

Figure 6. Backscattered SEM surface images of alloy 91 with four different surface finishes. (a), (c), and (d) show the surfaces of samples 91CW, 91S, and 91CS, respectively.

Mass change results are shown in Fig. 7 after exposures in sCO₂, aCO₂, and air. The mass gains in air were much lower than in the CO₂ environments. There were distinct differences in the surface finish effect in the three environments. The 91CW samples had the least mass gains in each environment. In sCO₂ and air, the 91S and 91CS samples were similar (in their respective environment). However, in aCO₂, the 91CS samples had mass gains like 91CW. The 91S results were similar to those found by Rouillard [10] with similar surface preparation after 550 °C/1 bar and 550 °C/250 bar exposures.
Fig. 7. Mass change results as a function of time for alloy 91 with different surface finishes in 3 environments.

The benefits of cold work are clear. However, in the CO₂ environments, the mass changes are still high compared to those found in alloys such as 600, 690, and 800 with mass gains on the order of 0.02 mg/cm² after 1000 h at 550 °C/100 bar [11]. These are chromia forming alloys whereas Grade 91 forms a thicker duplex scale with an inner Fe-Cr spinel layer and an outer magnetite layer [10]. Such thick scales are not desirable in heat exchanger components, but may be adequate for piping applications.

SUMMARY

The oxidation responses of Ni-xCr model alloys (where x varied from 5 to 24 wt%) were compared in six high temperature environments. The Ni-5Cr alloy in pure CO₂ environments (sCO₂ and aCO₂) was at least somewhat protective, while it was unprotective in the other environments. When H₂O or O₂ was part of the gas phase, a transition to protective kinetics occurred somewhere between 5-12Cr in DF4, DF4S and air, and at 14Cr in sH₂O. The oxygen activity in sCO₂ and sH₂O were similar, so H₂O was more aggressive than CO₂ for the Ni-5Cr alloy. The ability to remain protective at low Cr values indicates that nickel base superalloys may be resilient to damage that exposes near-surface alloy that is depleted Cr—especially in pure CO₂.

The oxidation responses of ferritic steel Grade 91, with three different surface finishes, were compared in three different environments at 550 °C. The mass gains in air were much lower than in CO₂ environments. The benefits of near surface cold work were observed—the samples with the most cold work had the smallest mass gains in all three environments. This indicates that surface enhancements to induce more residual stress, such as shot peening, may be of benefit for 9-12Cr ferritic-martensitic steels in sCO₂.

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